

### **PATENT**

## E UNITED STATES PATENT AND TRADEMARK OFFICE

# In re Application of:

Pan et al.

Serial No.: 09/259,145

Filed: February 26, 1999

For: WELL-DRIVE ANNEAL

TECHNIQUE USING PREPLACEMENT OF NITRIDE FILMS FOR ENHANCED

FIELD ISOLATION

Examiner: A. Mai

**Group Art Unit: 2814** 

Attorney Docket No.: 3027.1US

(96-684.1)

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20211.

Anathre of registered practitioner or other rson having reasonable basis to expect mailing occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Samuel E. Webb Typed/printed name of person whose signature is contained above

## RESPONSE TO FINAL REJECTION

Box AF Commissioner for Patents Washington, D.C. 20231

Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Final Office Action mailed February 13, 2001, the three-month shortened statutory period for response to which expires on May 13, 2001. This response is submitted on or before two months from the mailing date of the Final Office Action.

Attorney Docket No. 3027.1US (96-0684.1)

CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked) I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service as first class mail, postage prepaid (37 C.F.R. § 1.8)
"Express Mail Post Office to Addressee" service under 37 C.F.R. Express mail label mailing number: inserted below and is addressed to the Commissioner for Patents, Washington, D.C. 20231. April 12, 2001 d name of person mailing transmittal Date of Deposit

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

A. Mai

Group Art Unit No .:

Applicant(s):

Pan et al.

Filing date:

February 26, 1999

Serial No.:

09/259,145

For (title):

WELL-DRIVE ANNEAL TECHNIQUE USING

PREPLACEMENT OF NITRIDE FILMS FOR ENHANCED

FIELD ISOLATION

#### COMMUNICATION TRANSMITTAL

Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

Postcard receipt acknowledgment (attached to the front of this transmittal).

Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.

Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.

Preliminary amendment.

Amendment or other communication in response to the non-final office action mailed.

Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed February 13, 2001.

Petition for Extension of Time in duplicate with check no. in the amount of \$.

Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) .

Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

1 2 3	\$EXTRA
Total claims 17 - 17 = 0 x 18.00 =	0
Indep. claims 4 - 4 = 0 x 78.00 =	0
First presentation of a multiple dep. claim (+260.00)	
SUBTOTAL	0
Reduction for small entity - 50% of subtotal*	
TOTAL ADDITIONAL FEE (subtotal minus any reduction)	0

- \*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.
- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted

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Salt Lake City, UT 84110-2550

(801) 532-1922

Date: April 12, 2001

Enclosures: As identified above

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